

12-09-04

12W



PATENTS
112056-0135U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Amol R. Chitre et al.

Serial No.: 10/777,887

Filed: February 12, 2004

For: System and Method for Communica-
tion and Synchronization of Applica-
tion-Level Dependencies and owner-
ship of Persistent Consistency Point
Images

Examiner: Not Yet Assigned

Art Unit: 2171

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
December 8, 2004

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EV 335593293 US

The following papers are being deposited with the United States Postal Service
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

X Information Disclosure Statement
X Return Receipt Postcard

X PTO-1449 and Copies of References



PATENTS
112056-0135U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Amol R. Chitre et al.)	
)	Examiner: Not Yet Assigned
Serial No.: 10/777,887)	
)	
Filed: February 12, 2004)	Art Unit: 2171
)	
For: System and Method for Communica-)	
tion and Synchronization of Applica-)	
tion-Level Dependencies and owner-)	
ship of Persistent Consistency Point)	
Images)	

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
December 8, 2004

"Express Mail" Mailing-Label Number: EV 335593293 US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trade-
mark Office, Applicants wish to bring to the Examiner's attention the references listed on
the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what
they consider to be the relevance of any foreign-language reference. The Office may find
additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Duane H. Dreger", is written over a horizontal line.

Duane H. Dreger
Reg. No. 48,836
CESARI AND MCKENNA, LLP
88 Black Falcon Avenue
Boston, MA 02210-2414
(617) 951-2500

+

+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	2
-------	---	----	---

Application Number	10/777,887
Filing Date	February 12, 2004
First Named Inventor	Amol R. Chitre et al.
Group Art Unit	2171
Examiner Name	Not Yet Assigned
Attorney Docket Number	112056-0135U

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	US2002/008 3037	A1	Lewis et al.	06-27-2002	
	2	US2003/018 2325	A1	Manley et al.	09-25-2003	
	3	US2003/018 2322	A1	Manley et al.	09-25-2003	
	4	US2003/018 2312	A1	Chen et al.	09-25-2003	
	5	US2003/018 2313	A1	Federwisch et al.	09-25-2003	
	6	US2003/018 2330	A1	Manley et al.	09-25-2003	
	7	US2004/003 0822	A1	Rajan et al.	02-12-2004	
	8	6,574,591		Kleinman et al.	06-03-2003	
	9	5,963,962		Hitz et al.	10-05-1999	
	10	6,038,570		Hitz et al.	03-14-2000	
	11	5,819,292		Hitz et al.	10-06-1998	

[illegible]Date
Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<p>Substitute for form 1449A/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<p style="text-align: center;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td style="width: 50%;">10/777,887</td></tr><tr><td>Filing Date</td><td>February 12, 2004</td></tr><tr><td>First Named Inventor</td><td>Amol R. Chitre et al.</td></tr><tr><td>Group Art Unit</td><td>2171</td></tr><tr><td>Examiner Name</td><td>Not Yet Assigned</td></tr><tr><td>Attorney Docket Number</td><td>112056-0135U</td></tr></table>		Application Number	10/777,887	Filing Date	February 12, 2004	First Named Inventor	Amol R. Chitre et al.	Group Art Unit	2171	Examiner Name	Not Yet Assigned	Attorney Docket Number	112056-0135U
Application Number	10/777,887														
Filing Date	February 12, 2004														
First Named Inventor	Amol R. Chitre et al.														
Group Art Unit	2171														
Examiner Name	Not Yet Assigned														
Attorney Docket Number	112056-0135U														
Sheet	2	of	2												

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	12	R. FIELDING ET AL., Standards Track HTTP/1.1 RFC 2616, Network Working Group, June 1999 pgs 1-143, The Internet Society	
	13	Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Draft SNIA CIFS Work Group Work-In-Progress, March 26, 2001, Storage Networking Industry Association	
	14	D. Hitz et al., File System Design for an NFS File Server Appliance TR3002, pgs. 1-10, Network Appliance, Inc.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.